Organizational Capacity Matrix

The purpose of the organizational capacity matrix is to help member organizations complete a self-assessment so they can seek out resources and prioritize areas of focus.

For each capacity element, identify the level of capacity that best describes your organization's status or level of performance. If your organization’s capacity does not fall clearly into one category, identify the description that is most suitable for your organization. It is better to underestimate rather than overestimate your organization’s capacity in a given area. With an accurate portrait of the capacity of your organization, you will be better equipped to identify the most critical areas for improvement. You’ll see an option to write in goals related to each section; writing out a few goals can help jump start your progress. If you do it alone, it should take about 30 minutes; if you do it with your team, it should take about 2 hours. Once you are done, please save this file and re-name with as “organization’s name\_OCAM”. (ex. Wallace Center\_OCAM).

Level of Capacity Scoring Options:

* **Robust**: statement is true; all elements in place and practiced consistently
* **Moderate**: most elements in place; some room for growth
* **Basic**: some elements in place; much room for growth
* **Absent**: not present or in place

|  |  |
| --- | --- |
| Name |  |
| Organization |  |
| Service Applied For |  |
| Date |  |

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| --- | --- | --- | --- | --- |
| 1. **Leadership: the capacity of organizational leaders to inspire, prioritize, make decisions, provide direction, and innovate** | **Rating (Check one per row)** | | | |
| **Mission, vision, values** | **Absent** | **Basic** | **Moderate** | **Robust** |
| Our organization has a clear, specific, and compelling expression and understanding of what we seek to achieve; our guiding statements reflect our reason for existence, purpose, values, and daily work; they are universally held within our organization and used to direct actions and set priorities. We avoid mission drift in planning and implementing programs, and our organizational culture reflects our purpose and shared values. |  |  |  |  |
| **Strategy and goals** | **Absent** | **Basic** | **Moderate** | **Robust** |
| Our organization has an articulated theory of change which informs a current strategic plan. Our strategic plan includes a clear, bold set of actionable short-, medium- and long-term goals. Our strategy is universally known and is consistently used to direct actions and set priorities. |  |  |  |  |
| **Racial Equity** | **Absent** | **Basic** | **Moderate** | **Robust** |
| Our organization’s life reflects full participation and shared power with diverse racial, cultural and economic groups in determining our mission, structure, constituency, policies and practices. We Ally with others in combating all forms of social oppression. |  |  |  |  |
| **Executive Director/CEO Leadership and Effectiveness** | **Absent** | **Basic** | **Moderate** | **Robust** |
| Our organization’s leader(s) develops respectful, positive, and motivating relationships with others, both within and outside the organization; they embody the organization’s mission, vision, and values and compel others into action; they have strong analytical and strategic skills and can make informed decisions in complex situations; and they have exceptional financial judgement skills. |  |  |  |  |
| **Board of Directors involvement and support** | **Absent** | **Basic** | **Moderate** | **Robust** |
| Our organization has a diverse board of directors with a broad variety of expertise and a membership that reflects our community. The board is actively engaged, thoughtful, ethical, and competent; they actively define performance targets and hold our CEO/ED fully accountable; and they are periodically evaluated. |  |  |  |  |
| **Community engagement** | **Absent** | **Basic** | **Moderate** | **Robust** |
| Community members take on a wide variety of roles in organization, including volunteer positions of leadership. Paid staff work collaboratively with community members to plan and lead much of the organization’s work, understand community needs, and define desired outcomes. Organizing efforts are focused on growing community capacity and social capital to tackle issues/problems, and we have systems for receiving feedback from our clients and the community we serve. |  |  |  |  |

**Goals related to leadership capacities (optional):**

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| 1. **Programming: The capacity of a nonprofit organization to monitor, assess, and respond to internal and external changes, opportunities and needs.** | **Rating (Check one per row)** | | | |
| **Partnerships and alliances** | **Absent** | **Basic** | **Moderate** | **Robust** |
| Strong, high-impact relationships with a variety of relevant entities have been built, leveraged, and maintained; our relationships are anchored in trust and transparency, and stable, long-term, mutually beneficial collaboration. |  |  |  |  |
| **Assessment of external environment** | **Absent** | **Basic** | **Moderate** | **Robust** |
| Clear, participatory, established systems are regularly used to understand and assess community needs and external opportunities and threats; assessments are used to inform our planning efforts and programs; our organization regularly communicates with community members and opinion leaders about evolving community needs, and we produce an annual report to inform our community and partners about our work. |  |  |  |  |
| **Program development** | **Absent** | **Basic** | **Moderate** | **Robust** |
| All programs and services are well-defined and fully aligned with our mission, vision, values, overarching goals, and community needs; program offerings are clearly linked to one another and to overall organizational strategy; we are doing the right work. |  |  |  |  |
| **Organizational learning and performance measurement** | **Absent** | **Basic** | **Moderate** | **Robust** |
| Monitoring and Evaluating: An evaluation strategy is in place for all of our programs. Evaluation results are used to inform and improve program implementation and future program planning, and are shared with community members and partners as appropriate. |  |  |  |  |
| **Food Systems Knowledge** | **Absent** | **Basic** | **Moderate** | **Robust** |
| Organization has a firm understanding of food system: We have a clear understanding of how our organization’s work is related and connected to others working in the food system, and how our programs address the social, economic, and environmental impacts the food system has on our community. We are informed about local, regional and national trends, innovations, and opportunities, and have collaborative relationships with partners working in different parts of the food system. |  |  |  |  |

**Goals related to programming capacities (optional):**

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| 3. **Management: the capacity of a nonprofit organization to ensure the effective and efficient use of organizational resources** | **Rating (Check one per row)** | | | |
| **Staff recruitment, development, retention, and evaluation** | **Absent** | **Basic** | **Moderate** | **Robust** |
| Our management team is actively engaged in general staff development; thoughtful and targeted development plans for key employees/positions are in place; we have written position descriptions for all key staff; frequent, relevant training, coaching/feedback, and consistent performance appraisals are institutionalized; and our recruitment methods ensure that staff reflect the diversity of the community and constituents |  |  |  |  |
| **Financial management, Budgeting, and planning** | **Absent** | **Basic** | **Moderate** | **Robust** |
| We have solid, long-term financial plans that are continuously updated; our budget is integrated into all operations and used as a strategic tool; our budget was developed from a process that incorporates and reflects organizational needs and objectives; and our performance-to-budget is closely and regularly monitored by qualified and competent financial management staff. |  |  |  |  |
| **Internal coordination, communication, and knowledge management** | **Absent** | **Basic** | **Moderate** | **Robust** |
| We have a healthy and seamless integration and communication between different programs and organizational units; relationships between programs/units are dictated primarily by organizational needs rather than hierarchy or politics; and we have well-designed, user friendly, accessible systems to capture and share institutional knowledge within the organization. |  |  |  |  |
| **Resource Development, and strategy** | **Absent** | **Basic** | **Moderate** | **Robust** |
| We have well-developed systems for long-term planning, revenue diversification, and outlining and managing to target goals; our diversified fund development strategy is proactive and integrated into our organization's long-term strategic plan and budget projections, and our organization consistently raises funds from at least four sources of income (foundations, individuals, government, corporate, in-kind, earned revenue, etc.). |  |  |  |  |
| **Grants** | **Absent** | **Basic** | **Moderate** | **Robust** |
| We systematically track grant opportunities and plan our response well in advance to include community members and partners in grant planning. We evaluate each grant opportunity with respect to mission, current capacity, partner organizations, and stakeholder needs, and have systems in place to track all funder requirements and grant deliverables. |  |  |  |  |

**Goals related to management capacities (optional):**

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| **4. Operational: The capacity of a nonprofit organization to implement key organizational and programmatic functions** | **Rating (Check one per row) ­** | | | |
| **External communications and outreach** | **Absent** | **Basic** | **Moderate** | **Robust** |
| We have a communications plan and strategy in place and it is updated on a frequent basis; our marketing materials are customized to different stakeholder groups (including multiple languages if appropriate), are used consistently, and our website, social media and marketing materials are current and professional in appearance.; |  |  |  |  |
| **Facilities and Infrastructure** | **Absent** | **Basic** | **Moderate** | **Robust** |
| Our physical infrastructure suits our organization’s current and anticipated future needs and supports staff’s health and wellness, collaboration, effectiveness and efficiency; we have the IT equipment, hardware, software and support needed to operate effectively. |  |  |  |  |
| **Internal, day-to-day operations** | **Absent** | **Basic** | **Moderate** | **Robust** |
| We utilize organizational and operational best practices, implemented by an appropriate and adequate team of knowledgeable, skilled and engaged staff, and we strive to be an organization of excellence. A robust, lean and well-designed set of processes and policies are in place to ensure effective and efficient operation of the organization. |  |  |  |  |
| **Database management** | **Absent** | **Basic** | **Moderate** | **Robust** |
| We have sophisticated, comprehensive electronic databases and management reporting systems for tracking clients, staff, volunteers, program outcomes, and financial information; these systems are widely used and essential in increasing information sharing and efficiency within our organization. |  |  |  |  |
| **Program implementation and project management** | **Absent** | **Basic** | **Moderate** | **Robust** |
| We deliver programs and services of the highest quality, which have been shown to effectively address community needs. We have systems in place for planning, organizing, scheduling, leading, communicating and controlling our work to achieve program objectives, on time and within budget. |  |  |  |  |

**Goals related to operational capacities (optional):**

The definitions used here were derived from the Marguerite Casey Foundation Organizational Capacity Assessment Tool, available at <https://caseygrants.org/resources/org-capacity-assessment/>